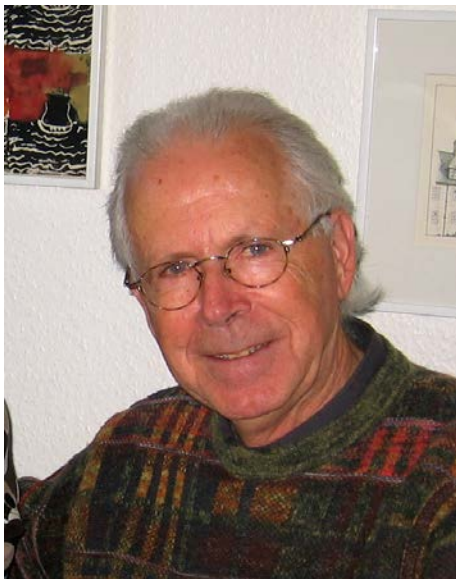


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English website: <http://soc.washington.edu/people/karl-dieter-opp>  
German Website: <http://sozweb.sozphil.uni-leipzig.de/de/personen/prof-dr-karl-dieter-opp.html>



## **Curriculum Vitae and Publications in English Updated February 2019**

### **Contents**

Education  
Professional career  
Teaching  
Research  
Areas of interest  
Publications in English  
Publications in German (general overview)

### **Education**

Born May 26, 1937 in Cologne (Germany). Primary School 1943 to 1948 (in Uckerath).  
Gymnasium (comparable to High School) with Greek and Latin, 1948 to 1957 in Cologne,  
high-school diploma (Abitur), final examination after 9 years of High School, in 1957.

Apprenticeship as an industrial management assistant at the Ford Motor Company in Cologne, April 1957 to October 1959; final examination 1959 with grade 1 (best grade). Title: industrial merchant.

Study of sociology, economics, law, and pedagogy at the University of Cologne from 1959 to 1963. Final examination (comparable to MA) with grade 2 (1 is best, 6 worst grade), sociology grade 1, MA thesis grade 1.

## **Professional Career**

Assistant professor ("Wissenschaftlicher Assistent") from October 1964 to August 1967 at the research institute of sociology at the University of Cologne (Director: Prof. Dr. René König).

Dr. rer. pol. 1967 (Ph.D. in the economics faculty, with examinations in economics, sociology and social psychology) at the University of Cologne, under the direction of René König and Erwin K. Scheuch, with "magna cum laude." The subject of the thesis was an ecological study of delinquency in Cologne. Assistant professor of sociology at the institute of sociology of the University of Erlangen-Nürnberg, from 1967 to 1971.

Habilitation at the University of Erlangen-Nürnberg in 1970 for "sociology." Subject of the thesis: Social action, roles, and social systems (published as a book in German in 1970). Subject of the public lecture which is a part of the habilitation: On the applicability of cybernetics in sociology.

From April 1971 to December 1993 full professor of sociology at the University of Hamburg. Since December 1993 full professor of sociology at the University of Leipzig. Emeritus since October 2002.

Fellow of the Netherlands Institute for Advanced Study in the Humanities and Social Sciences (NIAS), Wassenaar, August 1976 to August 1977.

Visiting professor at Indiana University, department of sociology and germanic department, August to October 1983; visiting professor at the Federal University in Recife (Brazil), March 1991.

Theodor Heuss Professor at the New School for Social Research (New York) from September 8, 1991, to May 15, 1992.

Associate editor of the journal "Rationality and Society" (the Journal was initiated by James Coleman, University of Chicago) from 1988 to 1995, then in the editorial board. Member of the advisory board of the German criminological journal „Monatsschrift für Kriminologie und Strafrechtsreform“ (Monthly Journal of Criminology and Penal Reform), „Mobilization,“ „The Independent Review,“ „Mind & Society,“ „Jahrbuch für Handlungs- und Entscheidungstheorie“ (Yearbook for Action Theory and Decision Theory).

Member of the National Honor Committee (NHC) of the 33rd World Congress of Sociology (Cologne), July 7. to 11, 1997.

Former Member of the committee responsible for the German General Social Survey (ALLBUS).

Chair of the research committee on rational choice of the International Sociological Association, from 1994 to 1998, then member of the board for four years.

Visiting Scholar at the Russell Sage Foundation 1996/97

Instructor at the "Essex Summer School in Social Science Data Analysis and Collection", 2002, 2003 and 2004. Course: Techniques of Testing Rational Choice Models.

Visiting Professor at the University of Washington (Seattle), fall 2003, spring 2005, spring 2006. Since 2003 I teach regularly each year at the University of Washington, almost always during the spring quarter.

Affiliate Professor at the University of Washington (Seattle), Department of Sociology, since September 2007.

Visiting Professor at the International University Bremen (West Germany), January to June 2004. Visiting Professor at the University of Breslau (Poland), October 18 to October 21, 2004. AND October 13 to 17, 2008.

Research Fellow of the CREMA (Center for Research in Economics, Management and the Arts) since October 2009. See <http://www.crema-research.ch/fellows.htm> // <http://www.crema-research.ch/fellowseiten/opp.htm>

Visiting Professor at the ETH (Swiss Technical University) Zurich, char Prof. Dr. Dirk Helbing, September 15 to December 15, 2009.

Visiting Professor at the University of Cologne, Department of Sociology, winter semester 2009/2010 (seminar "Norms and Institutions"), and winter semester 2010/2011 and 2011/2012 (seminar "Rational Choice Theory").

Visiting Professor at the „HAN International Summer Semester” at the Jiaotong University in Xi'an, China, from June 22. to July 22, 2012. Lecture about rational choice theory.

Visiting Professor at the Cologne International Summer University (CISU), Seminar „Norms and Institutions. Origin, Change, and Effects." University of Cologne, August 19 to 29, 2013, August 17 to 28, 2015.

Visiting Professor at the University of Konstanz (Constance), Graduate School of Decision Sciences, October 26 to November 8, 2014, Seminar „Norms and Institutions. Origin, Change, and Effects.”

**Member of the European Academy of Sociology (EAS) since 2000, Treasurer since 2000 to the end of 2015.** <http://www.european-academy-sociology.eu/>

**Member of the European Academy of Sciences and Arts (since 2016).** <http://www.euro-acad.eu/>

## Teaching

I have held seminars and lectures on the following topics:

Sociological theory (Rational Choice theory in particular)

Methods of empirical research

Philosophy of the social sciences

Sociology of deviant behavior

Social movements and political protest: An Introduction to and critical analysis of the theoretical perspectives

Norms and institutions: Emergence, stability, and effects

Rational Choice Theory: Versions, Critique, Alternatives, Applications

Crime and “Rationality”: Can Crime and Deviant Behavior Be Explained by Rational Choice Theory? (Held at UW Sociology Spring 2019)

## **Research**

**Past research:** Several empirical research projects focusing in particular on crime and political protest, funded by the German National Science Foundation (Deutsche Forschungsgemeinschaft) and the Volkswagen Foundation.

A major past project (1987/1989) was a comparative panel survey explaining political protest (funded by the Volkswagen Foundation) with panel surveys (two waves) in Germany, Israel and Peru (a national representative sample in each of the three countries and two samples of protest prone communities in Germany and Israel, three samples in Peru). Major co-researchers were Steven Finkel and Edward N. Muller.

**Present research:** (1) I am still analyzing data of a four wave representative survey of the population of Leipzig (funded by the German National Science Foundation - Deutsche Forschungsgemeinschaft). The first wave was carried out in 1990, focusing on the events in 1989 when the East German communist regime collapsed. The data of the other waves were collected in 1993, 1996 and 1998. The research is concerned with the question of how political participation is shaped by participating in a successful revolution in 1989 and by the changing living conditions after the revolution.

(2) Since 1998 I have been working on a research project, also funded by the German National Science Foundation, with Prof. Kurt Mühler about the causes and effects of regional identification, in particular the identification with national states and Europe.

(3) A major past project (1987/1989) was a comparative research project explaining political protest (funded by the Volkswagen Foundation) with panel surveys (two waves) in Germany, Israel and Peru (a national representative sample in each of the three countries and two samples of protest prone communities in Germany and Israel, three samples in Peru). I am still analyzing the data and work on publications.

(4) I have been working on a research project on sensitive questions, funded by the German National Science Foundation (Deutsche Forschungsgemeinschaft, DFG), from April 1, 2008 to July 31, 2012. Other investigators are Andreas Diekmann, Peter Preisendörfer, and Thomas Voss. This project is part of a "Priority Program in Survey Methodology" of the DFG.

## **Fields of interests**

Rational actor theory and sociological theory; revolutions, social movements and political protest; the emergence, change and effects of norms and institutions; crime and deviant behavior; philosophy of the social sciences.

## **Publications in English**

### **1. Books in English**

(In collaboration with Peter and Petra Hartmann) *The Rationality of Political Protest. A Comparative Analysis of Rational Choice Theory*, Boulder, Colorado 1989, Westview Press.

(Editor with Michael Hechter and Reinhard Wippler) *Social Institutions. Their Emergence, Maintenance and Effects*. New York 1990, Aldine de Gruyter.

(With Peter Voss and Christiane Gern) *Origins of a Spontaneous Revolution. East Germany, 1989*. Ann Arbor 1995, Michigan University Press.

(Editor with Jack Goldstone) Special issue of "Rationality and Society" on Revolution: vol. 6, 1994, no. 1.

(Editor with Michael Hechter) Social Norms, Russell Sage Foundation 2001.

Political Protest and Social Movements. A Multidisciplinary Introduction, Critique and Synthesis. London and New York: Routledge 2009.

**Planned book (to be published by Routledge, due by the end of 2019): Analytical Criminology. Integrating Explanations of Crime and Deviant Behavior.**

## **2. Articles in English**

### **1968 to 2010**

(With Hans J. Hummell) Sociology without Sociology. The Reduction of Sociology to Psychology: A Program, A Test and the Theoretical Relevance, in: Inquiry 1968, vol. 11, pp. 205-226

(with Hans J. Hummell) On the Relation between Theory and Research in Sociology. A Critique of Empirical Studies in Sociology, Demonstrated with "Union Democracy", in: Quality and Quantity 1969, vol. 3, pp. 23-61

Theories of the Middle Range as a Strategy for the Construction of a General Sociological Theory. A Critique of a Sociological Dogma, in: Quality and Quantity 1970, vol. 4, pp. 243-53

Problems of Classification in Criminology, in: Council of Europe, Strasbourg 1973

Social Evolution: Learning Theory Applied to Group Action, in: Theory and Decision 1979, vol. 10, pp. 229-43

Group Size, Emergence, and Composition Laws: Are there Macroscopic Theories sui generis? in: Philosophy of the Social Sciences 1979, vol. 9, pp. 445-55

The Emergence and Effects of Social Norms. A Confrontation of Some Hypotheses of Sociology and Economics, in: Kyklos 1979, vol. 79, pp. 775-801

An Interactionist Theory of Social Norms and Its Critique from the Perspective of the "Economic Approach", in: Archiv für Rechts- und Sozialphilosophie 1980, vol. 66, pp. 239-56

(With Käte Burow-Auffarth, Uwe Heinrichs) Conditions for Conventional and Unconventional Political Participation: An Empirical Test of Economic and Sociological Hypotheses, in: European Journal of Political Research 1981, vol. 9, pp. 147-68

The Explanation of Values. Problems and Approaches, in: G. Dlugos, K. Weiermeier, eds., Management Under Differing Value Systems, Berlin and New York 1981 (de Gruyter), pp. 13-35

The Economic Theory of Social Norms ("Property Rights") and the Role of Social Structures and Institutions, in: Archiv für Rechts- und Sozialphilosophie 1981, vol. 67, pp. 344-60

Economics, Sociology, and Political Protest, in: Werner Raub, ed., Theoretical Models and Empirical Analyses. Contributions to the Explanation of Individual Actions and Collective Phenomena, Utrecht (E. S. Publications) 1982, pp. 166-82

The Evolutionary Emergence of Norms, in: British Journal of Social Psychology 1982, vol. 21, pp. 139-49

Problems of Defining and Explaining Capitalism, in: Svetozar Pejovich, ed., *Philosophical and Economic Foundations of Capitalism*, Lexington, Mass. (D. C. Heath) 1983, pp. 25-33

Rational Choice and Sociological Man, in: *Jahrbuch für Neue Politische Ökonomie*, ed. by E. Boettcher, Ph. Herder-Dorneich, K.-E. Schenk, Tübingen (Mohr Siebeck) 1984, pp. 1-16

Balance Theory: Progress and Stagnation of a Social Psychological Theory, in: *Philosophy of the Social Sciences* 1984, vol. 14, pp. 27-49

Sociology and Economic Man, in: *Journal of Institutional and Theoretical Economics* 1985, vol. 141, pp. 213-243

Soft Incentives and Collective Action: Participation in the Anti-Nuclear Movement, in: *British Journal of Political Science* 1986, vol. 16, pp. 87-112

(With Edward N. Muller) Rational Choice and Rebellious Collective Action, in: *American Political Science Review* 1986, vol. 80, pp. 471-87

The Evolution of a Prisoner's Dilemma in the Market. A Case Study and Some Theoretical Considerations, in: A. Diekmann and P. Mitter, eds., *Paradoxical Effects of Social Behavior. Essays in Honor of Anatol Rapoport*, Heidelberg and Wien (Physica Verlag) 1986, pp. 149-168.

(with Edward N. Muller) Rebellious Collective Action Revisited, in: *American Political Science Review* 1987, vol. 81, pp. 561-564.

Contractual Relations and Weak Solidarity: The Behavioral Basis of Restraints on Gain-maximization. Comment, in: *Journal of Institutional and Theoretical Economics* 1988, vol. 144, pp. 67-72.

Spontaneous Order and TIT FOR TAT: Some Hypotheses and an Empirical Test, in: *Journal of Institutional and Theoretical Economics* 1988, vol. 144, pp. 374-385.

What Can We Learn from the Utilitarian Tradition? Featured Essay, in: *Contemporary Sociology* 1988, vol. 17, pp. 445-447.

Grievances and Participation in Social Movements, in: *American Sociological Review* 1988, vol. 53, pp. 853-864

Community Integration and Incentives for Political Protest, in: *International Social Movement Research* 1988, vol. I, pp. 83-101

The Individualistic Research Program in Sociology, in: Gerard Radnitzky, ed., *Centripetal Forces in the Sciences*, vol. II, pp. 208-224, New York (Paragon House) 1988

(with Steve Finkel and Edward N. Muller) Personal Influence, Collective Rationality, and Mass Political Action, in: *American Political Science Review* 1989, vol. 83, pp. 855-903

The Economics of Crime and the Sociology of Deviant Behaviour. A Theoretical Confrontation of Basic Propositions, in: *Kyklos* 1989, vol. 42, pp. 405-430

Integration into Voluntary Associations and Incentives for Political Protest, in: *International Social Movement Research* 1989, vol. 2, pp. 345-362

Postmaterialism, Collective Action, and Political Protest, in: *American Journal of Political Science* 1990, vol. 34, pp. 212-235

The Attenuation of Norms, in: M. Hechter, K.-D. Opp, R. Wippler, eds., *Social Institutions. Their Emergence, Maintenance and Effects*, New York 1990, Aldine de Gruyter, pp. 119-139

(with M. Hechter and R. Wippler) Introduction, in: M. Hechter, K.-D. Opp, R. Wippler, eds., *Social Institutions. Their Emergence, Maintenance and Effects*, New York 1990, Aldine de Gruyter, pp. 1-9

Testing Rational Choice Theory in Natural Settings, in: J. J. Hox, J. De Jong-Gierveld, eds., *Operationalization and Research Strategy*, Amsterdam/Lisse 1990, Swets & Zeitlinger, pp. 87-101

(With Wolfgang Roehl) Repression, Micromobilization, and Political Protest, in: *Social Forces* 1990, vol. 69, pp. 521-548

(With Steven E. Finkel) Party Identification and Participation in Collective Political Action, in: *Journal of Politics* 1991, vol. 53, pp. 339-371

Processes of Collective Political Action. A Dynamic Model and the Results of a Computer Simulation, in: *Rationality and Society* 1991, vol. 3, pp. 215-251

Micro-Macro Transitions in Rational Choice Explanations, in: *Analyse und Kritik* 1992, vol. 14, pp. 143-151.

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Spontaneous Revolutions. The Case of East Germany in 1989. pp. 11-30 in: Heinz Kurz, ed., *United Germany and the New Europe*, Cheltenham: Edward Elgar 1993

(With Christiane Gern as second author) Dissident Groups, Personal Networks, and Spontaneous Cooperation: The East German Revolution of 1989, in: *American Sociological Review* 1993, vol. 58, pp. 659-680

Rational Choice vs. Adaptive Rule-Following: On the Behavioral Foundations of the Social Sciences. Comment, in: *Yearbook of New Political Economy (Jahrbuch für Neue Politische Ökonomie)*, vol. 12, Tübingen 1993, Mohr, pp. 111-115

Repression and Revolutionary Action: East Germany in 1989, in: *Rationality & Society* 1994, vol. 6, pp. 101-138

(With Gadi Wolfsfeld, Henry Dietz and Jerrold D. Green) Dimensions of Political Action. A Cross Cultural Analysis, in: *Social Science Quarterly* 1994, vol. 75, pp. 98-114.

The Evolution of Norms: An Anthropological View. Comment, in: *Journal of Theoretical and Institutional Economics* 1994, vol. 150, pp. 93-96.

The Role of Voice in a Future Europe, in: *Kyklos* 1994, vol. 47, pp. 385-402.

Social Networks can Resolve Actor Paradoxes in Economics and in Psychology. Comment, in: *Journal of Institutional and Theoretical Economics* 1995, Bd. 151, pp. 75-79.

(As first author, with Steven E. Finkel, Edward N. Muller, Gadi Wolfsfeld, Henry A. Dietz, Jerrold D. Green), *Left-Right Ideology and Collective Political Action. A Comparative Analysis of Germany, Israel, and Peru*, pp. 63-95 in: J. Craig Jenkins, Bert Klandermans, eds., *The Politics of Social Protest. Comparative Perspectives on States and Social Movements*. Minneapolis: University of Minnesota Press.

Would You Like to Know More About: Rational Choice and Political Action? In: *Agora* 1996 (Newsletter of the Rational Choice Section of the American Sociological Association and the Research Committee on Rational Choice of the International Sociological Association), vol. 4, No. 2, pp. 1-4.

The Role of Voice in a Future Europe, in: *Research on Democracy and Society*, vol. 3, 1996, pp. 135-151 (extended version of the article mentioned above)

Can Identity Theory Better Explain the Rescue of Jews in Nazi Europe than Rational Actor Theory? In: *Research in Social Movements, Conflict and Change* 1997, vol. 20, pp. 223-253.

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"Limited Rationality" and Crime, in: Graeme Newman, Ronald V. Clarke, S. Giora Shoham, eds., *Situational Crime Prevention*, Dartmouth: Ashgate 1997, pp. 47-65.

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Contending Conceptions of the Theory of Rational Action, in: *Journal of Theoretical Politics* 1999, Heft 2, Bd. 11, pp. 171-202.

Adverse Living Conditions, Grievances, and Political Protest after Communism. The Example of East Germany." *Social Forces* 2000, 79:29-65.

(With M. Hechter) Introduction in: M. Hechter, K.-D. Opp, eds., *Social Norms*. New York: Russell Sage Foundation 2001, pp. XI-XX.

Social Networks and the Emergence of Protest Norms, in: M. Hechter, K.-D. Opp, eds., *Social Norms*, New York: Russell Sage Foundation 2001, pp. 234-273.

(With M. Hechter) What Have We Learned about the Emergence of Social Norms?, in: M. Hechter, K.-D. Opp, eds., *Social Norms*. New York: Russell Sage Foundation 2001, pp. 394-415.

How Do Norms Emerge? An Outline of a Theory. In: Raymond Boudon, Pierre Demeulenaere, and Riccardo Viale, eds., *L'explication des normes sociales*. Paris: Presses Universitaires de France 2001, pp. 11-44.

Why Do People Vote? The Cognitive Illusion Proposition and Its Test, in: *Kyklos* 2001, Bd. 54, Heft 2/3, pp. 355-378

Collective Political Action. A Research Program and some of Its Results, in: *Analyse & Kritik* 2001, vol. 23, Heft 1, pp. 1-20.

How Do Norms Emerge? An Outline of a Theory. In: Raymond Boudon, Pierre Demeulenaere, and Riccardo Viale, eds., *L'explication des normes sociales*. Paris: Presses Universitaires de France 2001, pp. 11-44. A modified version will also appear in: *Mind & Society*.



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(With Steven E. Finkel and Stan Humphries), Socialist Values and the Development of Democratic Support in the Former East Germany, in: International Political Science Review 2001, 22: 339-362.

When Do Norms Emerge by Human Design and When by the Unintended Consequences of Human Action? The Example of the No-Smoking Norm. *Rationality & Society* 2002, 14:131-158.

(With Jürgen Friedrichs) Rational Behavior in Everyday Situations, in: *European Sociological Review* 2002, 18: 401-415.

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'What is is always becoming what ought to be.' How Political Action Generates a Participation Norm, in: *European Sociological Review* 2004, vol. 20, pp. 13-29.

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Review Essay. Hartmut Esser: *Textbook of Sociology*, in: *European Sociological Review* 2004, vol. 20, pp. 253-264.

Decline of the Nation State? How the European Union Creates National and Sub-National Identifications, in: *Social Forces* 2005, vol. 84, pp. 653-680.

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(With Heike Diefenbach): When and Why Do People Think There Should Be a Divorce?: An Application of the Factorial Survey, in: *Rationality & Society* 2007, vol. 19, No. 4, pp. 485-517.

Explaining Contentious Politics: A Case Study of a Failed Theory Development and a Proposal for a Rational Choice Alternative. S. 303-317 in *Raymond Boudon: A Life in Sociology, volume 2*, edited by Mohamed Cherkaoui and Peter Hamilton. Oxford: The Bardwell Press. 2009.

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George Orwell: From Democratic Revolution to Authoritarian Rule. 2011. Pp. 65-74 in *Sociological Insights of Great Thinkers. Sociology Through Literature, Philosophy, and Science*, edited by C. Edling and J. Rydgren. Santa Barbara: Praeger..

Efficacy and Collective Action. 2011. In *Contribution to the Wiki "Social Interaction and Communication"*, [http://www2.hu-berlin.de/interactcommunicate/index.php5/Main\\_Page](http://www2.hu-berlin.de/interactcommunicate/index.php5/Main_Page).

The Production of Historical "Facts." How the Wrong Number of Participants in the Leipzig Monday Demonstration on October 9, 1989 Became a Convention. 2011. *Jahrbücher für Nationalökonomie und Statistik / Journal of Economics and Statistics (Special Issue, edited by Andreas Diekmann: Methodological Artefacts, Data Manipulation and Fraud in Economics and Social Science)*. Vol. 231(issues 5 and 6): pp. 598-607.

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Collective Identity, Rationality and Collective Action. 2012. *Rationality & Society* 24(1):73-105.

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Rational Choice Theory and Social Movements. 2012. In D. A. Snow, D. Della Porta & B. Klandermans (Eds.), *The Blackwell Encyclopedia of Social and Political Movements*. London: Blackwell. Forthcoming.

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## 2013 and 2014

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## 2015 and 2016

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**Planned book** (to be published by Routledge, due by the end of 2019): **Analytical Criminology. Integrating Explanations of Crime and Deviant Behavior**

### **Publications in German**

I have published more than 25 books (in part with co-authors) and more than 180 articles, most in professional and peer-reviewed journals.

The **books** (in German language) include (the following list is not complete), the titles are translated into English: Sociology in Law (1973); Deviant Behavior and Social Structure (1974); Sociology of White Collar Crime (1975); Methodology of the Social Sciences (1970, 1976, 1995, 1999, 2002, 2005); The Individualistic Approach to the Social Sciences (1979). The Emergence of Social Norms (1983); (with co-authors) Social Problems and Protest Behavior (1984); (with W. Roehl) The Chernobyl Effect (1990); (with coauthors) The People's Revolution (1993); The Disappointed Revolutionaries (1996); (with K. Mühler) Region and Nation. On the Causes and Effects of Regional and Supra-Regional Identification (2004); (with K. Mühler) Region - Nation - Europe. The Dynamics of Regional and Supraregional Identification (2006). My textbook "Methodology of the Social Sciences. Introduction to Problems of Theory Construction and Practical Application" (mentioned before) has been published in its seventh edition in 2014.

**Edited books** in German: The Transformation Process (with R. Metze and K. Mühler) - 1998; Norms and Institutions. Their Emergence and Effects (with R. Metze and K. Mühler) - 2000.

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